



About Kestra Financial

Headquartered in Austin, Texas, Kestra Financial provides an industry-leading platform that empowers sophisticated independent financial advisors to provide clients with superior service. With an energetic culture rich in reinvention and advocacy, we've developed integrated business management technology that offers exceptional scale and efficiency.

Financial advisors who partner with Kestra Financial have access to a wide range of tools and services they can use to help clients achieve their financial goals, including investment advisory, brokerage, and insurance services. Before being offered to clients, certain products must pass a rigorous vetting and due diligence process performed by a team of experienced professionals at Kestra Financial.

Strength and Stability



Broker-Dealer
 Established in
1997



1,800
 Registered Representatives



**Assets Under
 Advisement¹**

\$71 billion



**Assets Under
 Management²**

\$19.2 billion



Total Revenue³

\$423 million

An Industry Leader

Top Ten



#8 **Fastest Growing Independent Broker-Dealers**
 by Financial Planning, 2016 Top 10 IBDs by Revenue Growth

#10 **Independent Broker-Dealers by Gross Revenue**
 by Investment Advisor, 2016 Broker-Dealer Reference Guide

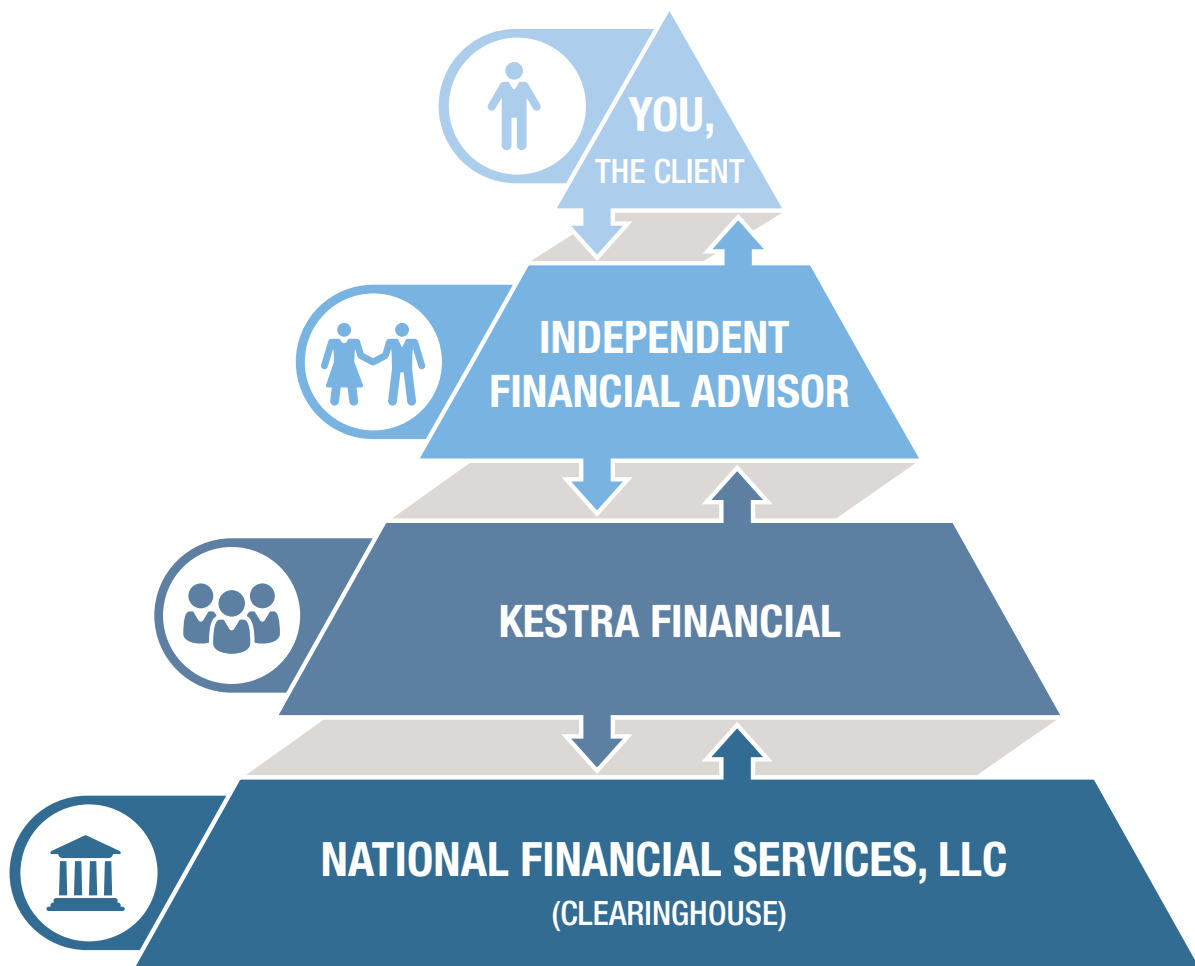
Kestra Financial, Inc. is the parent company of Kestra Investment Services, LLC, member FINRA/SIPC, Kestra Advisory Services, LLC, and Kestra Private Wealth Services, LLC. Securities offered through Registered Representatives of Kestra Investment Services, LLC. Investment Advisory Services offered through Investment Advisor Representatives of Kestra Advisory Services, LLC or Kestra Private Wealth Services, LLC. For more information about Kestra Financial, visit www.kestrafinancial.com.

1. As of Dec. 31, 2016, assets include Kestra Investment Services, LLC, Kestra Advisory Services, LLC, Kestra Private Wealth Services, LLC, and Kestra Institutional Services
 2. As of Dec. 31, 2016, assets include Kestra Advisory Services, LLC, Kestra Private Wealth Services, LLC, and Kestra Institutional Services
 3. As of Dec. 31, 2016, includes combined revenue of Kestra Financial, Inc. and its subsidiaries

Partnering for Your Success

As an independent financial advisor, I am able to provide you with custom financial advice and a set of best-fit, investment solutions designed to help you achieve your goals and dreams. I'm not obligated to promote any particular products, proprietary offerings or make referrals for other services. That means you can feel confident knowing that the recommendations I provide to you are based on your unique needs.

However, independence doesn't mean I'm alone. In fact, there's a highly symbiotic relationship operating quietly, efficiently and seamlessly in the background that makes certain I can provide for all your financial needs — now and in the future.



A clearinghouse is a financial institution and settlement service for financial and securities transactions.

KESTRA FINANCIAL

KESTRA FINANCIAL IS COMPRISED OF TWO AFFILIATES: KESTRA ADVISORY SERVICES, A REGISTERED INVESTMENT ADVISOR (RIA), AND KESTRA INVESTMENT SERVICES, A BROKER-DEALER. AN RIA IS RESPONSIBLE FOR PROVIDING INVESTMENT ADVICE AND ACTING AS A FIDUCIARY IN FINANCIAL MATTERS. A BROKER-DEALER SUPPORTS INDEPENDENT FINANCIAL ADVISORS BY FACILITATING TRADES ACCORDING TO A CLIENT'S INSTRUCTIONS.



I choose to be part of Kestra Financial, which provides the necessary technology platforms, infrastructure and compliance support that independent financial advisors need to facilitate investments, transactions and processing on behalf of my clients. That relationship provides me with daily access to top subject-matter experts and service providers across a wide range of financial products and services, including:

-  **ADVISORY SERVICES**
-  **ALTERNATIVE INVESTMENTS**
-  **BANKING SERVICES**
-  **BONDS**
-  **EDUCATION SAVINGS PLANS**
-  **EQUITIES**
-  **FIXED PRODUCTS**
-  **MUTUAL FUNDS**
-  **INDUSTRY-LEADING RESEARCH**
-  **RETIREMENT SERVICES**
-  **TRUST SERVICES**
-  **VARIABLE ANNUITIES**
-  **VARIABLE LIFE INSURANCE**

About National Financial Services, LLC:

Every broker/dealer needs a clearinghouse to provide trade execution, clearing and other related services for your brokerage accounts. Another important reason I choose to work with Kestra Investment Services is because their brokerage accounts are carried by National Financial Services, LLC, Member NYSE/SIPC. Securities in accounts carried by National Financial Services LLC, a Fidelity Investments® company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to \$500,000. The \$500,000 total amount of SIPC protection is inclusive of up to \$250,000 protection for claims for cash, subject to periodic adjustments for inflation in accordance with terms of the SIPC statute and approval by SIPC's Board of Directors. National Financial Services has also arranged for coverage above these limits. Neither coverage protects against a decline in the market value of securities, nor does either coverage extend to certain securities that are ineligible for coverage.

Kestra Financial, Inc. is the parent company of Kestra Investment Services, LLC, (Kestra IS) member FINRA/SIPC, Kestra Advisory Services, LLC (Kestra AS) and Kestra Private Wealth Services, LLC (Kestra PWS). Securities offered through Registered Representatives of Kestra IS. Investment Advisory Services offered through Investment Advisor Representatives of Kestra AS or Kestra PWS, an affiliate of Kestra IS.

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